

AUDIT  
REPORT

Audit of SFPA Official  
Controls in (approved)  
Fish and Fishery  
Product Establishments

Sea Fisheries  
Protection Authority

**CORRECTIVE ACTION PLAN**

JULY 2013



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## **CORRECTIVE ACTION PLAN**

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This corrective action plan describes audit findings requiring corrective action, documents responsibility for addressing the findings and describes progress on the close-out of actions.

**Audit:** Audit of SFPA Official Controls in (approved) Fish and Fishery Product Establishments

**Official Agency:** Sea Fisheries Protection Authority

**Date of Audit:** July 2012

### CORRECTIVE ACTION PLAN

	To be completed by the FSAI		To be completed by the agency		To be completed by the agency & the FSAI through Service Contract Liaison process		
	Report Ref.	Finding Requiring Corrective Action	Proposed Corrective Action	Proposed Completion Date	Update	Status	
						Open	Closed (include date closed out)
1	4.3	In a number of cases however, certain SFPA forms/records/notes were not always completed in order to fully document the checks carried out in accordance with SFPA procedural requirements.					Closed - April 2014
2	4.4	<p>Official control checks for both inspection and sampling had not always been carried out in accordance with the SFPA Food Safety Control Plan requirements.</p> <ul style="list-style-type: none"> <li>The audit noted that for one port office, many of these checks had not been carried out in 2011 in accordance with the SFPA Food Safety Control Plan.</li> </ul>					Closed - April 2014

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2	4.4	<ul style="list-style-type: none"> <li>In a number of cases, official control inspections performed did not always meet the minimum frequencies to be delivered in accordance with the SFPA Food Safety Control Plan and the COP for approving land-based establishments.</li> </ul>					Closed - April 2014

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3	4.4	The audit team also noted that in some cases where these official control checks had not been performed, SFPO inspections of the establishments had not always recorded verification of the results of the food business operator's own checks, and whether these results had been confirmed as satisfactory during the inspections conducted.					Closed - August 2013

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4	4.4	In certain cases however, a full, detailed audit/inspection of food business operation establishments had not been carried out on an annual basis (despite the fact that, in certain cases they had been categorised as high-risk) and consequently, not all elements of the SFPA checklist were covered in the audit conducted.					Closed - April 2014

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5	4.4	For one food business operation, numerous deficiencies were observed on the day of the audit, where certain historic non-compliances had also not been closed out at this establishment.	A compliance notice was issued by the SFPA and appropriate enforcement action was taken.	June 2012			Closed - May 2013



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6	4.4	In relation to the performance of official controls, the audit team noted that although in most cases they were being carried out in accordance with requirements, certain exceptions were also observed, where variation in the consistency, delivery and effectiveness of certain official controls conducted were noted. These were highlighted in individual reports to the port office regions where they applied.					Closed - April 2014

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7	4.4	<p>Consequently, the audit team's view is that a formal review process for evaluation of the performance and delivery of official controls and their effectiveness could not be considered as being fully in place.</p> <ul style="list-style-type: none"> <li>The audit team noted that a formal and detailed review of the performance and delivery of official controls was not in general carried out on an annual basis at SFPA central level and against the requirements of the annual SFPA Food Safety Control Plan.</li> <li>Documented reviews at regional level were either not taking place or being recorded.</li> </ul>					Closed - April 2014

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8	4.4	Internal audits for assessing performance of official controls, i.e. in order to comply with the requirements of Article 4.6 of 882/2004, were not taking place at the time of the FSAI audit.					Closed - August 2015
9	5.0	The non-compliances identified as part of the onsite verification activity should be closed out by the SFPA in conjunction with the food business operators.					Closed - May 2013





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