

AUDIT
REPORT

Audit on the Follow-up and
Close-out of Non-compliances
with Food Law –
Department of Agriculture,
Food and the Marine

CORRECTIVE ACTION PLAN

JUNE 2013 (UPDATED NOVEMBER 2016)

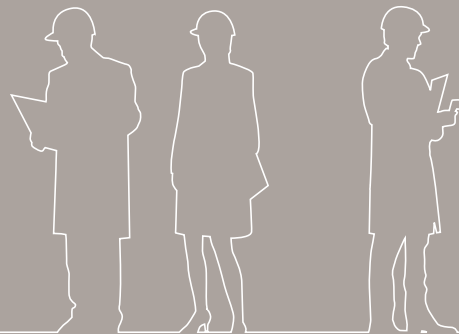


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This corrective action plan describes audit findings requiring corrective action, documents responsibility for addressing the findings and describes progress on the close out of actions.

Audit: Audit on the Follow-up and Close-out of Non-compliances with Food Law

Official Agency: Department of Agriculture, Food and the Marine

Date of Audit: December 3rd, 6th, 10th, & 13th 2012 and January 10th & 11th 2013.

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– Department of Agriculture, Food and the Marine**

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CORRECTIVE ACTION PLAN

	To be completed by the FSAI		To be completed by the agency		To be completed by the agency & the FSAI through Service Contract Liaison process		
	Report Ref.	Finding Requiring Corrective Action	Proposed Corrective Action	Proposed Completion Date	Update	Status	
						Open	Closed (include date closed out)
1	4.1.3	In one instance, where significant non-compliances had been identified as part of the annual audit, the risk assessment of the establishment was not formally re-evaluated.	In the specific instance where a significant non-compliance was identified as part of the Annual Review, the risk assessment was performed in Q1 2013.	Completed			Closed Q1 2013
2	4.1.4	The documented procedure for carrying out enforcement action (VPN 7/2004) contains references to outdated legislation.	The Enforcement Procedures Section of the Food Safety Management System SOP No 006/2008 is being updated with updated references to relevant legislation.	Quarter 3 2014 - agreed new proposed completion date <i>Original proposed completion date - quarter 3 2013</i>	A new documented procedure on enforcement will be produced. When it is completed, VPN 7/2004 and Annex VIII of SOP 6/2008 will be repealed. SOP 2/2015 V.1 Enforcement Procedures at Approved Meat and Milk Pasteurising Premises, was introduced 28/07/2015.		Closed 28/07/2015

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3	4.1.6	Records of verbal consultations regarding the issuing of enforcement notices were not always maintained.	When a VI consults with his/her RSVI or with HQ before issuing a Compliance Notice, the proposed Corrective Action is to record that such a consultation took place. SOP No. 006/2008 will be updated accordingly	Quarter 3 2014 - agreed new proposed completion date <i>Original proposed completion date - quarter 3 2013</i>	A new documented procedure on enforcement will be produced. It will include direction to ensure any verbal consultations between a VI and a RSVI or with HQ in relation to issuing an Enforcement Notice is recorded. SOP 2/2015 V.1 Enforcement Procedures at Approved Meat and Milk Pasteurising Premises, was introduced 28/07/2015.		Closed 28/07/2015

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4	4.2	The non-compliances identified as part of the on-site verification activity should be closed out by DAFM in conjunction with the food business operators.	All non-compliances identified as part of the on-site verification activity should be closed out in the time-frame indicated by DAFM staff to the food business operator. SOP No. 006/2008 will be updated accordingly	Quarter 3 2014 - agreed new proposed completion date <i>Original proposed completion date - quarter 3 2013</i>	A new documented procedure on Enforcement will be produced. It will include direction to ensure all non-compliances identified as part of the on-site verification activity should be closed out in the timeframe indicated by DAFM staff to the food business operator. SOP 2/2015 V.1 Enforcement Procedures at Approved Meat and Milk Pasteurising Premises, was introduced 28/07/2015. <u>Update Required</u> Confirmation of the close-out of food business operator non-compliances highlighted during the FSAI audit.	Partially closed	



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