

CORRECTIVE ACTION PLAN

This corrective action plan describes audit findings requiring corrective action, documents responsibility for addressing the findings and describes progress on the close-out of actions.

Audit: Audit of Official Controls carried out by the National Standards Authority of Ireland

Official Agency: National Standards Authority of Ireland

Date of Audit: 9th – 11th August, 2016

CORRECTIVE ACTION PLAN

| | To be completed by the FSAI | | To be completed by the Agency | | To be completed by the Agency & the FSAI through Service Contract Liaison Process | | |
|---|-----------------------------|--|--|--------------------------|---|--------|----------------------------------|
| | Report Ref. | Finding Requiring Corrective Action | Proposed Corrective Action | Proposed Completion Date | Update | Status | |
| | | | | | | Open | Closed (include date closed out) |
| 1 | 4.1.4 | <p>Food contact materials</p> <p>The Schedule 2.3.1 requirements of the service contract regarding risk categorisation and frequency of inspection is not being adhered to and the revised systems have not been agreed between the NSAI and the FSAI.</p> | <p>The risk assessment model developed at the initiation of the contract was found to be unworkable due a lack of data from the relatively small number of food contact material establishments in the country. The risk assessment was changed in Jan 2016 in light of experience gained and retained the key criteria identified in the service contract, i.e. business scope (manufacturer, convertor and wholesaler) and volume of product. The authorised officer reviews the risks associated with each food contact material establishment at each inspection and, based upon performance at inspection, increases the frequency of inspection.</p> | March 2017 | | | |

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| 1 | 4.1.4 | <i>(Continued)</i> | <p><i>(Continued)</i></p> <p>Frequency may also be increased based upon other relevant information, e.g. involvement in withdrawals, changes to legislation etc.</p> <p>The risk assessment approach will be forwarded for FSAI comment as part of on-going contract negotiations.</p> | | | | |

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| 2 | 4.1.5 | Section 1.21 of the service contract specified that documented procedures must be developed in agreement with the FSAI. Substantial changes to the natural mineral water and food contact material procedures were made shortly before the audit took place and were not made in consultation with the FSAI. It was noted also that some controlled documents and related records were not consistent in the template and header format used | <p>Full clarification of the triggers, re: extent of change to the NSAI documented procedures that prompt notification to the FSAI, will be sought from the FSAI.</p> <p>All relevant NSAI documents are assigned unique document reference numbers. These numbers appear on all documents and are controlled using the NSAI Document Control System – Q-pulse.</p> | February 2017 | | | |
| 3 | 4.1.8 | Some data provided by the NSAI in its 2014 and 2015 annual returns are incorrect. | Full clarification will be sought from the FSAI re: the status of sampling visits and how these should be represented within annual returns. A full due diligence review for all work completed by the NSAI under the FSAI service contract will be completed annually. | February 2017 | | | |