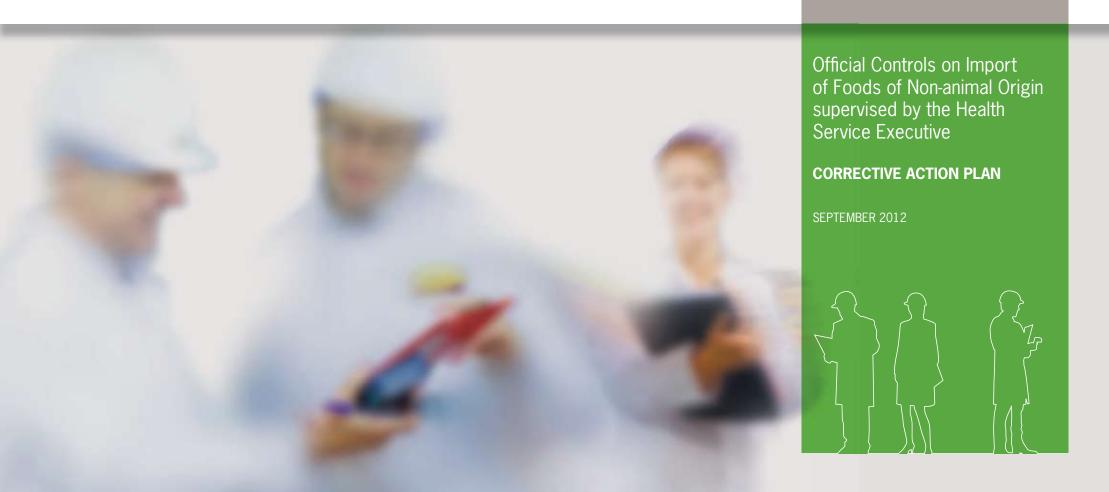


# AUDIT REPORT

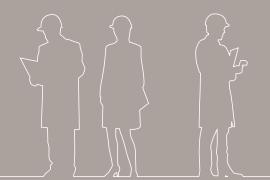


# AUDIT REPORT

Official Controls on Import of Foods of Non-animal Origin supervised by the Health Service Executive

## **CORRECTIVE ACTION PLAN**

SEPTEMBER 2012



Purpose: This plan describes audit findings and observation requiring corrective action, documents responsibility for

addressing the findings and describes progress on the close out of actions.

Audit: Audit of Official Controls on Import of Products of Non-animal Origin supervised by the Health Service Executive

Official Agency: Health Service Executive

Date of Audit: 5-9 December 2011

Audit Team: Eibhlin O'Leary and John Coady

Audit Tracker Reference: 04-2011-IMP

To be completed by the FSAI		To be completed by the agency in conjuthe FSAI	unction with	To be completed by the agency & the FSAI through Service Contract Liaison process		
Report Ref.	Finding Requiring Corrective Action	Proposed Corrective Action	Proposed Completion Date	Update	Open	Status Closed (include date closed out)
4.1.1 4.4.5 4.4.6 4.4.8	There are no documented procedures to:	Dublin: While system exist a document procedure will be developed in relation to points A, B and C.	Dublin: End June 2012			
	(a) Identify how consignments for routine official controls are selected and (b) Deal with noncompliant consignments (c) Instruct staff regarding increased controls or emergency measures	Cork: In relation to (a): (1) All foodstuffs subject to emergency decisions are subjected to a control. This is possible due to the relatively small number of these importations  (2) All other imports a risk based selection system will be documented.	Cork: End June 2012			

To be completed by the FSAI		To be completed by the agency in conju the FSAI	inction with		To be completed by the agency & the FSAI through Service Contrac Liaison process		
Report Ref.	Finding Requiring Corrective Action	Proposed Corrective Action	Proposed Completion Date	Update	Open	Status Closed (include date closed out)	
		In relation to (b) a documented procedure will be drawn up.  In relation to (c) regular updates are provided by the FSAI. A documented system for this will be set up.					

To be completed by the FSAI		To be completed by the agency in conju the FSAI	inction with	To be completed by the agency & the FSAI through Service Contract Liaison process		
Report Ref.	Finding Requiring Corrective Action	Proposed Corrective Action	Proposed Completion Date	Update	Open	Status Closed (include date closed out)
4.1.1	Sampling is not carried out at Cork Airport or port for any parameters, micro or chemical	Cork: In relation to routine official controls, Regulation 882/2004 states that official controls including sampling must be performed at an appropriate place. The Cork Port Health team notify the district EHO in the case of a food importation. It is commonplace that consignments through Cork port/airport are destined for a food business operator within the HSE South region, where there is prior knowledge of the food business operator, the premises and the space in which official controls can be carried out. Areas other than the port can be deemed an "appropriate place". No corrective action is required.	N/A			
FOOD SAFET	Y AUTHORITY OF IRELAND AU	DIT REPORT SERIES				PAGE 4 OF 9

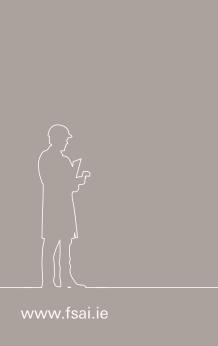
To be completed by the FSAI		the FSAI & the FSAI through			ed by the agency gh Service Contract n process	
Report Ref.	Finding Requiring Corrective Action	Proposed Corrective Action	Proposed Completion Date	Update	Open	Status Closed (include date closed out)
4.2.2	The facilities for official controls at Dublin Airport are not at the required standard for a DPE.	Dublin: As detailed in facilities at airport – Dublin access to improved facilities is being progressed in conjunction with the FSAI and other agencies. This is constrained by the operation of the airport cargo handling.  Feasibility study of dedicated inspection bay to be completed by November, 2012.	Dublin: Outcome dependent on outcome of feasibility study.			

To be completed by the FSAI		To be completed by the agency in conjute the FSAI	junction with To be completed by the agen & the FSAI through Service Cor Liaison process			gh Service Contract
_						Status
Report Ref.	Finding Requiring Corrective Action	Proposed Corrective Action	Proposed Completion Date	Update	Open	Closed (include date closed out)
4.4.1	There is no national training plan or regular information exchange for food safety import controls.	Dublin & Cork:  (1) This issue has been referred to the national EH training committee for inclusion on the national training plan. All HSE training for 2012 has now been deferred until 2013 as a cost containment measure (2) Exchanges of information on imports of FoNoA regularly takes place between Cork and Dublin.	September 2012			
4.4.7	There are very few formal opportunities for the EHS at Dublin and Cork to coordinate how controls are being implemented to ensure a consistency of approach for the importation of goods into Ireland.	The terms of reference of the national port health group will be reviewed to consider whether it is the appropriate forum for the coordination, consistent implementation and information exchange on imports of FoNoA.	October 2012			

To be completed by the FSAI		To be completed by the agency in conjunction with the FSAI		To be completed by the agency & the FSAI through Service Contract Liaison process		
Report Ref.	Finding Requiring Corrective Action	Proposed Corrective Action	Proposed Completion Date	Update	Open	Status Closed (include date closed out)
4.4.2	The EHS will need to consider further the penalties for importers who do not comply with this prior notification.	Dublin: While legally the food business operator is required to provide the date in advance, consignees may not know the actual date of arrival until after the goods have landed and they are notified by their agent of this. The auditors noted that consignments were not released until official controls were carried out. Delay in release of good until after notification period is a sanction on the consignee and can have demurrage charges of €100 day in port. Enforcement actions must be proportionate; the relative cost of taking formal action is not generally justified is such cases.	N/A			

To be completed by the FSAI		To be completed by the agency in conju the FSAI	inction with	To be completed by the agency & the FSAI through Service Contrac Liaison process		
Report Ref.	Finding Requiring Corrective Action	Proposed Corrective Action	Proposed Completion Date	Update	Open	Status Closed (include date closed out)
4.4.4	Full cost recovery to cover additional official controls associated with imports are not in place	Dublin: All external costs have been charged to the importer.	Dependant on outcome from interagency import control working group.			
		Cork: In all cases to date, there has been no significant cost to the HSE for "additional" controls.  A review of the cost structure to include internal costs is underway as part of the interagency working group on import control chaired by the FSAI.				

To be completed by the FSAI		To be completed by the agency in conjuthe FSAI	unction with	To be completed by the agency & the FSAI through Service Contract Liaison process		
Report Ref.	Finding Requiring Corrective Action	Proposed Corrective Action	Proposed Completion Date	Update	Open	Status Closed (include date closed out)
4.4.9	The EHS does not have an internal audit system in place	Dublin & Cork: Regulation 882/2004 states that 'Competent authorities shall carry out internal audits or may have external audits carried out' The national internal audit function is being developed. The HSE is currently subject to external audit by the FSAI and the FVO.	Q2 2013			





Abbey Court, Lower Abbey Street, Dublin 1.

Advice Line: 1890 336677 Telephone: +353 1 817 1300 Facsimile: +353 1 817 1301

Emaii: info@fsai.ie Website: www.fsai.ie