

AUDIT
REPORT

Audit of official controls
in DAFM supervised
infant formulae and follow-on
formulae establishments

CORRECTIVE ACTION PLAN

NOVEMBER 2019

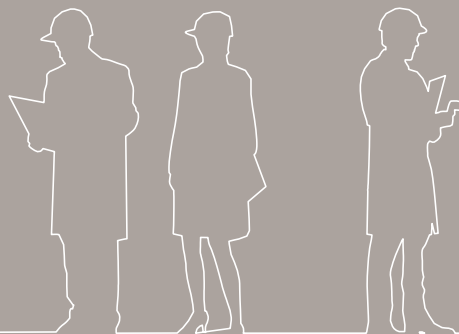


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CORRECTIVE ACTION PLAN

APRIL 2018



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This corrective action plan describes audit findings requiring corrective action, documents responsibility for addressing the findings, and describes progress on the closeout of actions.

Audit: **Audit of official controls in DAFM supervised infant formulae and follow-on formulae establishments**

Official agency: **Department of Agriculture, Food and the Marine – Dairy Produce Inspectorate**

Date of audit: **December 2016 to April 2017**

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APRIL 2018

	To be completed by the FSAI		To be completed by agency and the FSAI		To be completed by agency and the FSAI through Service Contract Liaison process		
	Report Ref.	Finding requiring corrective action	Proposed corrective action	Proposed completion date	Update	Status	
						Open	Closed (include date closed out)
1.	4.1.5 and 4.1.9	<p>The DAFM should review training and/or documented procedures in the following areas:</p> <p>(i) The interrogation of sampling plans /results and the interpretation of equivalent testing methods used by industry to determine compliance with Commission Regulation (EC) 2073/2005</p>	<p>The DAFM to develop procedures in consultation with the FSAI for the interrogation of sampling plans /results and the interpretation of equivalent testing methods used by industry to determine compliance with Commission Regulation (EC) 2073/2005.</p>	Q4 2019	<p>Checklists have been developed and trialed in Q4 2018.</p> <p>FSAI and DAFM agreed to set up a working group WG in 2019 to establish criteria for interrogation of sampling plans and the interpretation of equivalent testing to determine compliance with Reg. (EC) 2073/2005.</p> <p>Several meetings of the WG have taken place in 2019.</p>	(In Progress) Target date for completion 31 st of December 2019 as agreed by FSAI.	Closed (10.1.19)

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		(ii) The preventative maintenance and engineering programmes in place at these types of facilities	DAFM actions: (a) Further training on relevant process engineering topics. (b) Develop guidance document for the auditing of preventative maintenance and engineering programmes. (c) Workshop for Inspectors on the use of guidance document as part of official controls.	End of Q1 2020	Engineering training completed in Q1. 2018. Arrangements for development of guidance document are in place Target date for completion Q4 2019 Roll-out deferred until Q1 2020.		Closed (Q1 2018)

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	Report Ref.	Finding requiring corrective action	Proposed corrective action	Proposed completion date	Update	Status	
						Open	Closed (include date closed out)
2.	4.1.5 and 4.1.8	Official controls should be reviewed to request the food business operator to inform the DAFM immediately of any issues which may affect the safety of the products manufactured, even where there is no explicit statutory requirement to do so.	The DAFM will develop and implement procedures to request the food business operator to inform the DAFM immediately of any issues which may affect the safety of the products manufactured.	Target date Q2 2019	DAFM Update 31st of May 2019. A letter was issued to the industry requesting that FBOs inform DAFM of any concerns they have that may affect the safety of the products they manufacture.		Closed (Completed Q2 2019)
3.	4.2	The non-compliances identified as part of the on-site verification activity should be closed out by the inspectorate in conjunction with the food business operators.	The DAFM to follow up and verify closeout of non-compliances identified.	Q2 2018	Closed		Closed (Aug. 2018)



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